

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO				Complete if Known	
				Application Number	10/529,530-Conf. #4556
				Filing Date	March 29, 2005
				First Named Inventor	Teresa P. Karjala
				Art Unit	1797
				Examiner Name	B. McCaig
Sheet	1	of	4	Attorney Docket Number 62144B US	

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (# if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
AA*	US-5,482,987	01-09-1996	Forschirm		
AB*	US-6,723,810-A1	04-20-2004	Finlayson et al.		
AC*	US-6,319,979-B1	11-20-2001	Dubois et al.		
AD*	US-7,199,180	04-03-2007	Simmons et al.		
AE*	US-6,323,285-B1	11-27-2001	Johnston et al.		
AF*	US-6,300,398-B1	10-09-2001	Jialanella et al.		
AG*	US-6,120,887	09-19-2000	Werencz et al.		
AH*	US-6,579,915-A1	06-17-2003	Kroll et al.		
AI*	US-6,534,572-B1	03-18-2003	Ahmed et al.		
AJ*	US-6,107,430	08-22-2000	Dubois et al.		
AK*	US-6,582,829	06-24-2003	Quinn et al.		
AL*	US-6,008,262	12-28-1999	McKay et al.		
AM*	US-6,339,112-B1	01-15-2002	Kaufmann et al.		
AN*	US-5,023,388	06-11-1991	Luker		
AO*	US-5,723,705	03-03-1998	Herrmann et al.		
AP*	US-5,703,187	12-30-1997	Timmers		
AQ*	US-6,462,154	10-08-2002	Naganuma et al.		
AR*	US-6,221,448-B1	04-24-2001	Baelzold et al.		
AS*	US-6,417,416	07-09-2002	Heilman et al.		

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ -Number-Kind Code ⁴ (# if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁵
BA	EP-200351-A2	11-05-1986	Mitsui Petrochemical Ind			✓
BB	WO-02/14384-A2	02-21-2002	Uniroyal Chem Co Inc			✓
BC	EP-1103568-A1	05-30-2001	Pennzoil Quaker State Co			✓
BD	EP-0814127B1-A1	12-29-1997	Montell North America Inc			

Examiner Signature	Date Considered
--------------------	-----------------

*EXAMINER Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. * CITE NO. Those application(s) which are marked with an single asterisk (*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(ii)) because that application was filed after June 30, 2003 or is available in the IFW. *Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being transmitted via the Office electronic filing system in accordance with § 1.6(a)(4).

Dated: December 17, 2009

Electronic Signature for Laura Butterfield / Laura Butterfield /

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	10/529,530-Conf. #4556
<i>(Use as many sheets as necessary)</i>				Filing Date	March 29, 2005
				First Named Inventor	Teresa P. Karjala
				Art Unit	1797
				Examiner Name	B. McCaig
Sheet	2	of	4	Attorney Docket Number	62144B US

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Signature _____ Date Considered _____

"EX-ANR: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant." CITE NO. Those application(s) which are marked with a single asterisk (*) next to the Cite No. are not supplied (under 37 CFR 1.96(a)(2)(ii)(B)) because that application was filed after June 30, 2003 or is available in the FWD. Applicant's unique citation designation number (optional) because that application was filed after June 30, 2003 or is available in the FWD. Applicant's unique citation designation number (optional) because that application was filed after June 30, 2003 or is available in the FWD. Applicant's unique citation designation number (optional) because that application was filed after June 30, 2003 or is available in the FWD. Enter Office that issued the patent or patent application. By initialing the box, the applicant certifies that the document is a true copy of the original document, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate check mark: English language. Translation is attached.

Substitute for form 1449/PTO

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

3

of

4

Complete if Known

Application Number	10/529,530-Conf. #4556
Filing Date	March 29, 2005
First Named Inventor	Teresa P. Karjala
Art Unit	1797
Examiner Name	B. McCaig
Attorney Docket Number	62144B US

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
CA		Opposition by Clariant December 19, 2006 (5 pages)	
CB		Opposition by Clariant December 19, 2006 (Eng. Translation) 5 Pages	
CC		Dow Response to Notice of Opposition November 1, 2007 (4 pages)	
CD		Dow's Claim Set in Response to Notice of Opposition November 1, 2007 (12 pages)	
CE		Dow's Declaration in Response to Notice of Opposition November 1, 2007 (4 pages)	
CF		EPO Communication (Further Examination of Opposition November 23, 20007 (3 pages)	
CG		Clariant's Reply to Examination Report in Opposition March 18, 2008 (3 pages)	
CH		Clariant's reply to Examination Report in Opposition March 18, 2008 (English Translation) (3 pages)	
CI		EPO Communication (Further Examination of Opposition) April 29, 2008 (3 pages)	
CJ		Dow's reply to Examination Report in Opposition October 27, 2008, (4 pages)	

Examiner Signature	Date Considered
--------------------	-----------------

¹EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

²Applicant's unique citation designation number (optional). ³Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449/PTO				<i>Complete if Known</i>	
				Application Number	10/529,530-Conf. #4556
				Filing Date	March 29, 2005
				First Named Inventor	Teresa P. Karjala
				Art Unit	1797
				Examiner Name	B. McCaig
Sheet	4	of	4	Attorney Docket Number	
62144B US					

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
CK		Dow's Claim Set (Main Request) in Reply to Examination Report in Opposition October 27, 2008. (12 pages)			
CL		Dow's Claim Set (First Auxiliary Request) in Reply to Examination Report in Opposition October 27, 2008. (12 pages)			
CM		ABSTRACT - 36. 3 Soft Materials, Hard Results - RPA 20-00 791984			
CN		ABSTRACT - 37. Tube Turnaround- RPA 20-00 791969			
CO		ABSTRACT -38. Synthesis of Branched Polyethylene Fluids for use in Lubricant Compositions - RPA 20-00 791960			
CP		ABSTRACT 39. Blends of Polyolefins and Poly (Etheyen Oxide) and process for making the blends RPA 20-00 791925			
CQ		ABSTRACT 40. Solubilized hydrophobically - Modified Alkali-Soluable Emulsion Polymers - RPA 20-00			
CR		ABSTRACT 41. Dur-O-Set E-171 HS (78-6995)- RPA 20-00 791876			
CS		ABSTRACT 42. Pet Packaging Solutions- RPA 20-00- 791875			

Examiner Signature	Date Considered
--------------------	-----------------

¹EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

²Applicant's unique citation designation number (optional). ³Applicant is to place a check mark here if English language Translation is attached.